



Docket Number: 008312-0308849  
Client Reference: T4MH-03S1331-1

*SPW*  
PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of

YASUAKI OOTERA

Group Art Unit: 1756

Application No.: 10/804,100

Examiner: Unassigned

Filed: March 19, 2004

Confirmation No.: 7104

For: OPTICAL DISK AND METHOD AND APPARATUS FOR MANUFACTURING THE SAME

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom. Applicants respectfully request the Examiner return an initialed copy of the enclosed Form PTO-1449 to Applicants with the next Office communication to indicate that the reference(s) has been considered, per MPEP § 609.

This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of the first Office Action on the merits in the present application. No certification or fee is required.

The reference(s) was/were cited in a counterpart foreign application. An English language version of the foreign search report is attached for the Examiner's information.

An English-language Abstract of the non-English language reference is attached hereto, and is relied upon for a statement of relevance for that reference.

The undersigned respectfully notes that copies of U.S. references are not required in applications filed after June 30, 2003.

Respectfully Submitted  
*for John P. Dailey 44,482*  
Jeffrey D. Karceski  
Registration Number 35914  
Customer Number: 00909

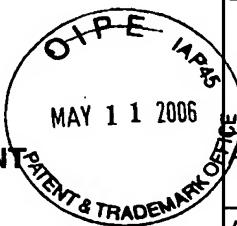
Date: May 11, 2006  
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FORM PTO-1449 (modified)  
 To: U.S. Department of Commerce  
 (PW FORM PAT-1449)  
 Patent and Trademark Office

Atty.  
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Client Ref.



**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT**

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Date: May 11, 2006

Page **1** of **1**

Applicant: Ootera, Yasuaki

Appln. No.: 10/804,100

Filing Date: March 19, 2004

Examiner: Unassigned Group Art Unit: 1756

**U.S. PATENT DOCUMENTS**

Examiner's Initials*	Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
AR	US 6,111,851	08/2000	Ohki et al.			
BR						
CR						
DR						
ER						
FR						
GR						
HR						
IR						
JR						
KR						

**FOREIGN PATENT DOCUMENTS**

	Document Number	Date MM/YYYY	Country	Inventor Name	English Abstract		Translation Readily Available	
					Enclosed	No	Enclose	No
LR	JP 2002-140838	05/2002	Japan	Ueno et al.		x		
MR								
NR								
OR								
PR								
QR								
RR								
SR								
TR								
UR								

**OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)**

VR	Chinese Office Action dated March 17, 2006 for Appln. No. 200410030498.2	
WR		
XR		
YR		
ZR		
AAR		

Examiner

Date Considered:

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.